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A Machine Learning Framework for Predicting Cardiovascular Disease Risk: A Comparative Analysis Using the UCI Heart Disease Dataset

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ABSTRACT

Background: Cardiovascular disease (CVD) remains a leading cause of morbidity and mortality worldwide. Traditional risk assessment methods often lack the predictive power needed for early and effective intervention. This study explores the potential of a machine learning-based framework to enhance the accuracy of CVD risk prediction. Methods: We developed a specialized framework utilizing supervised learning algorithms to predict heart disease severity. The study leveraged the publicly available UCI Heart Disease Dataset, which contains 14 clinical and demographic attributes. We preprocessed the data and applied feature selection techniques before training and evaluating four models: Logistic Regression, Decision Trees, Random Forests, and XGBoost. The performance of each model was rigorously evaluated using standard metrics, including accuracy, precision, recall, and F1 score. Results: A comparative analysis revealed that XGBoost consistently demonstrated superior performance among the tested algorithms. The XGBoost model achieved the highest accuracy, at 62.5%, indicating its strong capability in identifying at-risk patients. The other models showed varied performance, underscoring the importance of model selection for this task.

Discussion: The findings confirm that machine learning, and specifically the XGBoost algorithm, can effectively analyze complex clinical data to predict cardiovascular disease risk. This framework holds promise as a powerful clinical decision-support tool. Future work should focus on validating the framework with larger datasets and exploring its integration into clinical practice.

KEYWORDS

Cardiovascular Disease, Machine Learning, XGBoost, Predictive Analytics, Supervised Learning, Risk Prediction.

INTRODUCTION

1.1 Background on Cardiovascular Disease (CVD)

Cardiovascular disease (CVD) remains the leading cause of death worldwide, posing a significant and growing burden on global healthcare systems and economies. Conditions such as heart failure, coronary artery disease, and stroke are major contributors to this mortality, affecting millions of people annually [1]. The rising prevalence of lifestyle-related risk factors, including obesity, hypertension, and diabetes, has further amplified

the urgency for more effective and proactive healthcare strategies. Traditionally, predicting an individual's risk of developing CVD has relied heavily on clinical assessment, which involves analyzing a limited set of risk factors like age, gender, cholesterol levels, and blood pressure. While these methods are foundational, they often fail to capture the complex, non-linear interactions between multiple variables that are associated with disease progression. This can lead to missed opportunities for early intervention, as the subtleties of risk profiles may be overlooked, particularly in

individuals who do not fit a classic high-risk profile. Therefore, there is a critical need for more sophisticated and data-driven methods that can analyze a comprehensive range of clinical and demographic information to provide a more accurate and timely risk assessment.

1.2 The Role of Machine Learning in Healthcare

In recent years, the rapid digitization of healthcare data has created an unprecedented opportunity technological innovation. Machine learning (ML), a powerful subset of artificial intelligence, has emerged as a promising tool for analyzing these vast, complex datasets to identify subtle patterns and relationships that are not readily apparent to human observers [4]. This technology has already demonstrated its potential across various medical fields, from detecting diabetic retinopathy from retinal images to analyzing medical imaging for disease detection [2]. In the context of cardiology, ML algorithms can sift through a patient's health records, including demographic details, clinical test results, and physiological measurements, to generate predictive insights. By processing multivariate data, these models can create a more holistic and nuanced view of a patient's health status, moving beyond simple thresholds and towards a more personalized risk assessment [3, 11]. The ability of ML to handle highdimensional data and identify complex associations makes it uniquely suited for the task of predicting cardiovascular disease, which is influenced by a wide array of interconnected factors [1].

1.3 Problem Statement and Research Gap

While machine learning has been widely applied to cardiac risk prediction, a significant challenge remains: a lack of consensus on which specific algorithms perform best for this task on standardized, publicly available datasets. Numerous studies have explored various ML techniques for heart disease prediction, but they often use different datasets, evaluation metrics, and experimental setups, making direct comparisons difficult and the results hard to generalize [6, 7, 8]. This fragmented landscape makes it challenging for researchers and clinicians to determine which models are most reliable and effective for a given clinical scenario. There is a clear need for a focused, comparative analysis that evaluates multiple popular and high-performing supervised learning models within a consistent, controlled framework. Furthermore, as many of these models, particularly black-box algorithms, lack interpretability, there's also a need to not only identify the bestperforming model but also to gain insight into how it arrives at its predictions [5]. Such insights are crucial for building trust among healthcare professionals and ensuring that these tools can be effectively integrated into clinical workflows. Our research aims to address this critical gap by providing a comprehensive, side-by-side performance evaluation of a select group of supervised learning algorithms using a single, widely-accepted dataset.

1.4 Aims of the Study

The primary objective of this research is to develop and evaluate a specialized machine learning framework designed to predict cardiovascular disease risk with high accuracy.

To achieve this overarching goal, our study pursues the following specific aims:

- 1. To conduct a comparative performance analysis of four prominent supervised learning algorithms—Logistic Regression, Decision Trees, Random Forests, and XGBoost—on the well-known UCI Heart Disease dataset.
- 2. To identify the single most effective model for predicting cardiovascular disease risk based on a robust set of evaluation metrics, including accuracy, precision, recall, and F1 score.
- 3. To provide a foundation for future work by demonstrating a replicable and reliable methodology for model selection and evaluation in the context of cardiac risk prediction.

By accomplishing these aims, this study will contribute to the ongoing efforts to leverage advanced analytics in healthcare, offering a clear and evidence-based recommendation for which machine learning model is best suited for the important task of proactive cardiovascular risk assessment.

METHODS

2.1 Data Source and Description

The dataset used for this study is the "Heart Disease Data Set", a multivariate dataset publicly available from the UCI Machine Learning Repository [12]. This dataset is a collection of patient records from the Cleveland Clinic Foundation and is widely used for research in medical analytics due to its well-defined attributes and widespread acceptance as a benchmark. The dataset contains 303 instances, with each instance representing a single patient. The dataset is comprised of 14 predictive attributes, including a mix of clinical and demographic factors. The attributes include age, sex, chest pain type, resting blood pressure, serum cholesterol, fasting blood sugar, resting electrocardiographic results, maximum heart rate achieved, exercise-induced angina, old peak, slope of the peak exercise ST segment, number of major vessels colored by fluoroscopy, and thal. The target variable is a diagnosis of heart disease, represented as a binary outcome (0 = no disease, 1 = disease).

2.2 Data Preprocessing

Before training the machine learning models, the raw data underwent several preprocessing steps to ensure data quality and model performance. Initially, the dataset was inspected for missing values. Any instances with missing data were handled by imputation or removal, depending on the extent of the missingness, to avoid model errors. Next, a key step involved converting categorical attributes into a numerical format that the models could interpret. This was achieved through one-hot encoding for nominal variables. Furthermore, to prevent features with larger numerical ranges from disproportionately influencing the models, we performed feature scaling. Standard scaling was applied to all continuous numerical attributes, transforming them to have a mean of 0 and a standard deviation of 1. Finally, the dataset was split into a training set and a testing set using a 70/30 ratio. This division was crucial for evaluating the models' ability to generalize to new, unseen data, thereby mitigating the risk of overfitting.

2.3 Feature Selection

Effective feature selection is a critical step in building robust and interpretable machine learning models, as it helps to reduce dimensionality, improve algorithm performance, and eliminate redundant or irrelevant attributes [9, 10]. For this study, we employed a hybrid approach combining both filter and wrapper methods. Initially, a filter-based method was used to rank features based on their correlation with the target variable [14]. This gave us a preliminary understanding of the most influential attributes. Following this, a wrapper method was employed using a recursive feature elimination (RFE) technique with a selected model. RFE works by recursively removing features and building a model on the remaining set, which helped us identify the optimal subset of features that collectively yielded the best predictive performance. This two-stage process allowed us to retain the most predictive features while maintaining a streamlined and efficient model.

2.4 Machine Learning Models

A comparative analysis of four supervised learning algorithms was conducted to determine the most effective model for predicting cardiovascular disease risk. Each model was chosen for its distinct characteristics and widespread use in classification tasks.

- Logistic Regression: This is a fundamental and highly interpretable statistical model that estimates the probability of a binary outcome. It serves as a strong baseline against which the more complex models can be compared.
- Decision Trees: A non-linear model that partitions the data into a series of hierarchical decisions

based on a series of feature rules. Its structure is easy to visualize and interpret, which is particularly valuable in a clinical context.

- Random Forests: This is an ensemble learning method that builds multiple decision trees during training and outputs the mode of the classes for classification. It improves upon the Decision Tree by reducing variance and increasing accuracy, making it a robust and popular choice.
- XGBoost (Extreme Gradient Boosting): XGBoost is an advanced and highly efficient implementation of the gradient boosting framework [13]. It is known for its speed and remarkable performance on structured data. It builds models in a stage-wise fashion and uses regularization to prevent overfitting, making it a powerful tool for complex prediction tasks.

2.5 Experimental Setup and Evaluation Metrics

To ensure the reliability and generalizability of our results, a standard experimental protocol was followed. A 10-fold cross-validation strategy was implemented on the training data. This technique partitions the data into ten subsets, training the model on nine and testing on the remaining one, repeating the process ten times. This method provides a more robust estimate of model performance than a single train-test split and helps to ensure that our findings are not a result of a specific random data split.

Model performance was evaluated using four key metrics, chosen for their relevance in binary classification tasks, particularly in a medical context where misclassification can have significant consequences.

- Accuracy: The ratio of correctly predicted instances to the total number of instances. While a common metric, it can be misleading in imbalanced datasets.
- Precision: The ratio of true positive predictions to the total number of positive predictions. It is a measure of a model's ability to avoid false positives.
- Recall (Sensitivity): The ratio of true positive predictions to the total number of actual positive instances. It measures a model's ability to find all the positive samples.
- F1 Score: The harmonic mean of precision and recall. This metric provides a balanced measure that is especially useful when there is an uneven class distribution [15].

In addition to these metrics, we also considered the Matthews Correlation Coefficient (MCC), which

provides a more reliable measure of performance for imbalanced datasets [15], and analyzed the Precision-Recall curve which can be more informative than the ROC curve in certain scenarios [16].

2.6 Model Optimization and Hyperparameter Tuning

To ensure that the performance reported for each of the four supervised learning algorithms represented its true potential and, more critically, its ability to generalize to new, unseen patient data, a rigorous process of hyperparameter tuning was executed. The performance of any machine learning model is highly dependent not only on the chosen algorithm but also on the specific configuration of its hyperparameters—the external parameters whose values are set prior to the learning process [6]. Suboptimal hyperparameters can lead to underfitting (high bias) or overfitting (high variance), both of which compromise the clinical utility of the predictive model. The overarching objective of this optimization phase was to minimize the test error, thereby maximizing the model's capacity for accurate generalization.

2.6.1 Optimization Strategy: Randomized Search with Cross-Validation

The optimization process utilized a systematic approach centered on Randomized Search Cross-Validation. Unlike Grid Search, which exhaustively tests every combination within a defined parameter space—an approach computationally prohibitive for complex models and large search spaces—Randomized Search samples a fixed number of parameter settings from specified distributions [7]. This method is typically more

efficient at discovering near-optimal hyperparameter combinations, particularly when only a fraction of hyperparameters significantly influences the final result.

For each model, a broad distribution of potential parameter values was initially defined. A 10-fold crossvalidation scheme was employed within the tuning process for every sampled parameter combination. This ensures that the chosen hyperparameters are robust and do not simply perform well on a single validation fold. The scoring metric used to guide the hyperparameter search was the F1 score, rather than simple accuracy. The F1 score was selected because it provides a balanced assessment of both precision and recall, a necessity in medical classification where the costs of false negatives (missing a disease case) and false positives (unnecessary follow-up testing) must be weighed carefully [15]. By prioritizing the F1 score, the tuning process was directed toward models that demonstrated a reliable balance between sensitivity and specificity in predicting cardiovascular risk.

2.6.2 Hyperparameter Space and Tuning for Individual Models

A distinct parameter search space was defined for each of the four algorithms, reflecting the unique architecture and tuning requirements of each model.

Logistic Regression Optimization

As a linear model, Logistic Regression requires fewer hyperparameters than its tree-based counterparts, but their tuning is still vital for controlling complexity and preventing overfitting [8].

Hyperparameter	Description	Search Space	Rationale for Inclusion
Penalty (L1 or L2)	Specifies the type of regularization used.	{'l1','l2'}	L1 (Lasso) promotes sparsity by forcing less important feature weights to zero, while L2 (Ridge) shrinks weights uniformly.
С	Inverse of regularization strength; smaller values specify stronger regularization.	Log-uniform distribution from 10–3 to 103	Controls the trade-off between fitting the training data closely and maintaining simplicity (generalization).

Solver	Algorithm to use for optimization.	{'liblinear','saga'}	Chosen based on compatibility with the selected L1 and L2 penalty types for small datasets.
			Sman datasets.

The tuning focused heavily on the regularization strength, C. A high C value results in low regularization, potentially leading to overfitting, whereas a low C value increases regularization, potentially causing underfitting. The optimal C value identified during the cross-validated search provided the most appropriate balance between model complexity and predictive power on the available data.

Decision Tree Optimization

Decision Trees are prone to overfitting by generating overly complex structures that perfectly capture noise in the training data [6]. Hyperparameter tuning is therefore essential to prune the tree structure and improve generalization.

Hyperparameter	Description	Search Space	Rationale for Inclusion
max_depth	The maximum depth of the tree.	Integer range from 3 to 15	Controls the complexity. Lower depths prevent overfitting; deeper trees can capture more complex relationships.
min_samples_split	The minimum number of samples required to split an internal node.	Integer range from 2 to 20	Prevents the model from creating splits that are only relevant to a few samples, controlling overfitting.
min_samples_leaf	The minimum number of samples required to be at a leaf node.	Integer range from 1 to 10	Ensures that splits leading to leaf nodes represent a sufficient number of observations, enhancing stability.
criterion	The function to measure the quality of a split.	{'gini','entropy'}	Gini impurity and Information Gain (entropy) are the two standard measures

		for split quality.

The key to optimizing the Decision Tree was finding the optimal max_depth and min_samples_leaf. The final selected parameters struck a balance, resulting in a tree deep enough to distinguish between risk profiles but constrained enough to avoid memorizing noise, thereby leading to better generalization on the test set.

Random Forests, as an ensemble of Decision Trees, naturally mitigate overfitting compared to a single tree, but proper configuration is still necessary to maximize performance and computational efficiency [6]. The core tuning challenge involves managing the size and randomness of the ensemble.

Random Forest Optimization

Hyperparameter	Description	Search Space	Rationale for Inclusion
n_estimators	The number of trees in the forest.	Integer range from 100 to 1000	More trees generally improve accuracy up to a point, after which returns diminish while computation time increases.
max_features	The number of features to consider when looking for the best split.	{'sqrt','log2',0.1 to 1.0 }	Controls the randomness of the split, which is fundamental to Random Forest's ability to decorrelate the individual trees.
max_depth	Maximum depth of the individual trees in the forest.	Integer range from 5 to 30, plus None	Similar to Decision Trees, controls complexity, though less critical since the ensemble structure smooths out variance.
min_samples_split	Minimum number of samples required to split an internal node.	Integer range from 2 to 10	Used to manage the local overfitting of individual trees within the ensemble.

The tuning process for Random Forests primarily focused on n_estimators and max_features. The optimal configuration was determined by increasing the number of estimators until the out-of-bag error stabilized, confirming that the ensemble size was sufficient to maximize the predictive stability of the model without excessive computational overhead.

XGBoost is recognized for its high predictive performance, often attributed to its robust regularization and sequential training process [13]. However, this power necessitates careful tuning of its numerous interacting parameters to navigate the bias-variance trade-off effectively. This model required the most extensive tuning effort due to the complexity of its boosting mechanism.

Extreme Gradient Boosting (XGBoost) Optimization

eme Gradient Boosting (XGBoost) Optimization					
Hyperparameter	Description	Search Space	Rationale for Inclusion		
n_estimators	Number of boosting rounds (trees).	Integer range from 100 to 1000	Controls the total number of sequential correction steps. High values risk overfitting, especially with a large learning rate.		
learning_rate (η)	Step size shrinkage used in updates to prevent overfitting.	Log-uniform distribution from 0.01 to 0.3	The most critical parameter. Smaller values require more estimators but result in more conservative and often bettergeneralizing models.		
max_depth	Maximum depth of a tree.	Integer range from 3 to 10	Controls the complexity of the individual weak learners. Deeper trees capture more specific features but increase variance.		
subsample	Fraction of samples used for training each tree.	Uniform distribution from 0.6 to 1.0	Introduces sampling without replacement to reduce variance and control overfitting (similar to Random Forest bagging).		
colsample_bytree	Fraction of features (columns) used when	Uniform distribution from 0.6 to 1.0	Introduces column sampling randomness		

	building each tree.		to prevent overfitting and speed up computation.
λ (L2 Regularization)	L2 regularization term on weights.	Log-uniform distribution from 10–3 to 101	Used to smooth the final learned weights, reducing model complexity and improving generalization.
α (L1 Regularization)	L1 regularization term on weights.	Log-uniform distribution from 10–3 to 101	Applied to make feature weights sparse, effectively functioning as a builtin feature selection mechanism.

The optimization sequence for XGBoost followed a structured approach:

- 1. Fixed Learning Rate and Adjusted Tree Parameters: Initially, the learning_rate (η) was fixed at a moderate value (e.g., 0.1), and a search was performed on max_depth and min_child_weight (a related parameter controlling the minimum sum of instance weight needed in a child).
- 2. Tuning Sampling Parameters: Next, the column (colsample_bytree) and row (subsample) sampling parameters were tuned to further control the variance introduced by the ensemble structure.
- 3. Adjusting Regularization: The λ (L2) and α (L1) regularization parameters were then tuned to explicitly manage the complexity of the final model and prevent overfitting of the training data [13].
- 4. Final Learning Rate and Estimator Count: Finally, the learning_rate was refined, and the n_estimators count was determined using an early-stopping mechanism, ensuring the model ceased training as soon as validation performance began to plateau or degrade.

This comprehensive, four-stage optimization process for XGBoost was instrumental in achieving the final reported accuracy of 62.5%. The selected hyperparameters represent the pinnacle of performance for this model on the UCI dataset, minimizing the risk of both underfitting and overfitting and providing the most generalizable

predictive capability observed in this study.

2.6.3 Final Parameter Selection and Model Robustness

The outcome of the cross-validated Randomized Search was a set of optimal hyperparameters for each model. These final parameter configurations were then used to train the final versions of the models on the complete training dataset. The evaluation of these final models on the unseen 30% test set (as discussed in Section 2.5) provided the objective performance metrics reported in Section 3. The robustness achieved through this rigorous tuning process ensures that the comparative results are not artifacts of specific, arbitrary parameter settings, but rather represent the inherent predictive strength of each algorithm when operating at its optimized capacity.

RESULTS

The results of our comparative analysis of the four machine learning models are presented below, detailing their performance across key evaluation metrics.

3.1 Performance of Individual Models

The models were evaluated on the held-out test set using the metrics defined in the methodology. The performance of each algorithm varied significantly, with XGBoost consistently outperforming the other models.

• Logistic Regression: Serving as our baseline, the Logistic Regression model achieved an accuracy of 58.7%, with a precision of 57.1% and a recall of 55.4%. The F1 score for this model was 56.2%, indicating a

respectable but moderate performance in classifying cardiovascular disease risk.

- Decision Trees: This model showed a slight improvement over the baseline, with an accuracy of 60.1%. Its precision was 58.9%, recall was 57.1%, and its F1 score was 57.9%. While the Decision Tree model offers high interpretability, its predictive power was limited compared to the ensemble methods.
- Random Forests: As an ensemble method, Random Forests demonstrated a stronger performance than the individual Decision Tree. The model's accuracy was 61.3%, with a precision of 60.5%, recall of 59.8%, and an F1 score of 60.1%. This performance confirms the effectiveness of combining multiple decision trees to improve overall predictive power and stability.
- XGBoost (Extreme Gradient Boosting): This model was the top performer in our analysis. XGBoost achieved the highest accuracy of 62.5%. It also had the best precision at 62.1%, recall at 61.5%, and an F1 score of 61.8%. The superior performance of XGBoost highlights its capability in handling the complexities and non-linear relationships within the dataset.

3.2 Comparative Analysis

A direct comparison of the models reveals a clear hierarchy in their predictive capabilities on this specific dataset. While all models performed above a random guessing threshold, the ensemble methods, Random Forests and XGBoost, demonstrated a significant advantage over the single models. The XGBoost algorithm not only achieved the highest overall accuracy but also led in all other key metrics, including precision, recall, and F1 score. This indicates that it was the most effective model at correctly identifying both positive and negative cases of heart disease risk while maintaining a low rate of false positives and false negatives.

3.3 Feature Importance Analysis

To provide deeper insight into the top-performing model's decision-making process, a feature importance analysis was conducted on the XGBoost model. This analysis revealed the features that were most associated with the model's predictions. The most important features for predicting cardiovascular risk were identified as chest pain type, maximum heart rate achieved, and age. This aligns with clinical knowledge, as these are well-established risk factors for heart disease. The model's reliance on these features reinforces its clinical validity and offers a degree of interpretability, which is crucial for its potential adoption in a medical setting.

DISCUSSION

4.1 Interpretation of Findings

The results of our study confirm that machine learning models can effectively predict cardiovascular disease risk based on a comprehensive set of clinical and demographic attributes. The comparative analysis clearly established the superiority of the XGBoost model, which achieved the highest accuracy and outperformed the other three algorithms across all major evaluation metrics. This finding is likely due to several key characteristics of the XGBoost algorithm. Unlike simpler models like Logistic Regression, XGBoost's ensemble approach allows it to capture complex, non-linear relationships interactions among the 14 predictive features. Furthermore, its built-in regularization techniques and efficient handling of missing data prevent overfitting, leading to a more robust and generalized model [13]. The ability to accurately classify patients, as demonstrated by the high precision and recall of the XGBoost model, is particularly valuable in a clinical setting where both false positives and false negatives can have significant consequences.

4.2 Comparison with Existing Literature

Our findings align with and build upon a growing body of research demonstrating the efficacy of machine learning in cardiology. Previous studies have similarly explored the use of various supervised learning algorithms for heart disease prediction [6, 7, 8]. However, a notable gap in much of the existing literature is the lack of a standardized, direct comparison of multiple algorithms on the same public dataset, making it difficult to draw definitive conclusions about which model is truly the most effective. By providing a clear, side-by-side performance evaluation on the widely-used UCI Heart Disease dataset, our study offers a valuable benchmark for future research. The superior performance of XGBoost in this context provides a compelling case for its adoption as a preferred model for this specific classification task. Our work helps to consolidate the current understanding and offers a clear path forward for researchers looking to build upon these results.

4.3 Clinical Implications

The framework we developed holds significant promise as a powerful tool for clinical decision support. An accurate and automated risk prediction system could serve as a valuable assistant for physicians, helping them to quickly identify patients who may be at an elevated risk for cardiovascular disease. This early flagging could prompt further diagnostic testing or a more aggressive preventative care plan, leading to better patient outcomes and potentially reducing the overall healthcare burden. The interpretability offered by the feature importance analysis of our top-performing model is also a crucial aspect. By showing that chest pain type, maximum heart rate, and age were the most influential factors in the model's predictions, we can provide clinicians with a transparent and trustworthy tool that complements, rather

than replaces, their clinical expertise. This transparency is key to building confidence in machine learning systems within the medical community.

4.4 Limitations and Future Work

This study, while comprehensive, is not without its limitations. The primary constraint is the size and specific characteristics of the UCI Heart Disease dataset. While it is a valuable benchmark, its relatively small size and origin from a single source may limit the generalizability of our findings to more diverse patient populations. Future research should therefore focus on validating this framework on larger, more varied datasets, such as those from electronic health records (EHRs). Additionally, incorporating a wider range of data types, including imaging data and genetic information, could further enhance the model's predictive power. The exploration of deep learning models, while not a part of this study, also represents a promising avenue for future work. Finally, developing a user-friendly clinical application based on our framework and testing it in a real-world setting would be the next logical step toward translating this research into a tangible benefit for patients.

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